

AGENDA ITEM 17

**ENFORCEMENT DATA AND REPORTS FOR THE PERIOD
JANUARY 1 – MARCH 31, 2013.**

BOT ENFORCEMENT STATISTICAL REPORT
January 1, 2013 – March 31, 2013

Total Complaints-Received: 116 **DOI Investigations Initiated:** 6

Complaints-Closed: 100 **DOI Investigation Reports Received:** 6

Total Complaints-Pending: 144 (Oldest: 4/7/11) **Formal DOI Investigations Pending:** 6 (Oldest: 9/7/12)

Record of Arrests and Prosecutions [RAP] Received: 16 **Subsequent Arrest Reports Received:** 8

Applications Denied pursuant to Business and Professions Code 480/485: 1

Cases Pending with the Attorney General (AG): 18

<u>Transmitted</u>	<u>Complaint No</u>	<u>Type</u>	<u>Current Status</u>
10/12/11	OT 2009-246	Accusation	Accusation Filed 7/18/12; NOD recd; Hearing scheduled for 7/15/2013
04/19/12	OT 2010-644	Accusation	Accusation Filed 12/27/12; NOD recd; Hearing scheduled 8/14/2013
05/24/12	OA 2010-385	Accusation	Accusation Filed 11/20/12; NOD recd; Hearing scheduled 7/31/2013
05/24/12	OT 2010-318	Accusation	Accusation Filed 11/28/12; NOD recd; Hearing to be scheduled
08/03/12	OT 2011-96	Accusation	Accusation Filed 12/6/12; NOD recd; Hearing scheduled 7/23/2013
09/24/12	AR 2011-309	SOI	Statement of Issues Filed 2/8/13; Hearing to be scheduled
09/24/12	AR 2011-376	SOI	Statement of Issues Filed 1/30/13; Hearing to be scheduled
09/24/12	OT 2010-621	Accusation	Draft Accusation recd, under review
11/06/12	OA 2010-604	Accusation	Accusation Filed 1/16/13; NOD recd; Hearing scheduled 9/4/2013
11/21/12	OT 2010-333	Accusation	Draft Accusation rcvd, Staff requesting edits
11/27/12	OT 2011-117	Accusation	DAG to prepare Accusation
11/29/12	PT 2012-141	Pet for Reinstate	Petition for Reinstatement filed 10/1/12; Board to hear 5/8/13
12/11/12	OT 2011-49	Citation Hearing	Hearing Pending
12/11/12	OT 2011-416	Accusation	Accusation Filed 2/7/13; Revocation Stayed Until 4/22/2013
12/13/12	OT 2010-616	Accusation	DAG to prepare Accusation
12/17/12	OT 2011-468	Accusation	Accusation Filed 1/17/13; NOD recd; Hearing scheduled 10/9/2013
12/20/12	PA 2012-232	Pet for Early Term	Petition for Early Term of Probation filed 12/19/12; Board to hear 5/8/13
01/22/13	PT 2012-279	Pet for Modification	Petition Modification of Probation filed 1/24/13; Board to hear 5/8/13

BOT ENFORCEMENT STATISTICAL REPORT CONTINUED
January 1, 2013 – March 31, 2013

Statement of Issues filed:	2	Accusations filed:	3
Petition to Revoke Probation filed:	0	Accusation & Petition to Revoke Probation filed:	0
ISO Issued:	0	PC23 Issued:	0

Final Decisions: 4

January 9, 2013	Thomas Perez	Revocation of OT license
January 9, 2013	Thomas Perez	5 Year Probation for OTA license
February 25, 2013	Mary-Kaye Quinn	Voluntary Surrender
March 29, 2013	Gurpreet Sandhu	Voluntary Surrender

Cease Practice Orders Issued:	0	Cease Practice Orders Lifted:	0
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#	LICENSE CLASS		VIOLATION				CIT. #	FINE (OT)	OT Fine Modified	GRAND TOTAL FINE DUE (OT)	FINE (OTA)	OTA Fine Modified	GRAND TOTAL FINE DUE (OTA)	DATE ISSUED	DUE DATES		Appeal Rcvd		PAYMENT						
	OT	OTA	FDC	UPC	CC	ULP									PDU	AD	Req For Conf.	Fine	ICR	ADMIN	Pymnt Date	Pymnt Amnt	Bal (OT)	Bal (OTA)	
1	1								\$250				\$250	03/08/13	04/07/13	04/07/13					04/02/13	\$250	\$0		
1		1								\$50			\$50	03/18/13	04/17/13	04/17/13					03/22/13	\$50	\$0		
1	1								\$50				\$50	03/25/13	04/26/13	04/26/13							\$50		
36	23	13	1	1	1	0	0	0	5	29			\$1,950	\$0	\$1,950	\$1,450	\$250	\$1,200		5	1		\$1,900	\$600	\$650

Violation Key:

Applicant

- FDC - Failure to Disclose Criminal Convictions
- UPC - Unprofessional Conduct-Misrepresent credentials

Licenses

- UPC - Unprofessional Conduct
- CC - Criminal Convictions
- ULP - Unlicensed Practice
- PDU - Continuing Education
- AD - Failure to Notify of Address Change

Non-Licensed

- UL P- Unlicensed Practice

Assigned to FTB Intercept program=2

Practitioners Currently on Probation

NAME	LICENSE #		PROBATION	EFFECTIVE DATE
Alvarado, Robert	OTA 603	Charging Document	Probation Order	3 Years
Belasco, Jonathan	OTA 1063	Charging Document	Probation Order	3 Years
Comingore, Rachel	OT 12585	Charging Document	Probation Order	3 Years
Freeman, Kathryn E.	OT 2762	Charging Document	Probation Order	3 Years
Galaviz, Jaime H.	OTA 1799	Charging Document	Probation Order	3 Years
Giddings, Eric C.	OT 7143	Charging Document	Probation Order	2 years
Harrington, Shawna	OT 7878	Charging Document	Probation Order	1 year
Harris, Donald	OTA 1772	Charging Document	Probation Order	4 Years
Ingram-Watson, Sandra	OT 12312	Charging Document	Probation Order	3 Years
McGowin, Terry D.	OTA 757	Charging Document	Probation Order	2 Years
Ngo, Lisa B.	OT 11572	Charging Document	Probation Order	3 Years
Novogrod, Shana E.	OT 4624	Charging Document	Probation Order	3 years
Perez, Thomas J.	OTA 2470	Charging Document	Probation Order	5 years
Pitts, Andre	OTA 1829	Charging Document	Probation Order	5 Years
Posuniak, Kathleen	OT 12395	Charging Document	Probation Order	1 Year
Proctor, Mark	OTA 1739	Charging Document	Probation Order	3 years
Schmidt, Rebecca	OT 8291	Charging Document	Probation Order	3 Years
Searcy, Mary	OT 6209	Charging Document	Probation Order	2 Years

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