

## **AGENDA ITEM 8**

### **EXECUTIVE OFFICER'S REPORT.**

The Executive Officer's report is attached for review:

**Date:** June 25, 2012

**To:** CBOT Members



**From:** Heather Martin

**Subject:** Executive Officer Report – March 2012 Board Meeting

Attached to this report are the following:

1. Revenue and Expenditure reports through May 31, 2011;
2. Attorney General Expenditure report through March 31, 2011;
3. Performance Measurements for the period January 1 - March 31, 2012

Future Board meeting dates/locations are as follow:

<u>Date</u>	<u>Location</u>
October 11, 2012	TBD
October 12, 2012	Conference Room, San Jose State University

### Budget

Our budget for fiscal year (FY) 2011/12 is \$1.4m and our estimated revenue is \$980k. Through May 31, 2012, we have spent slightly over \$1.1m, approximately 81% of our budget and earned \$971k, nearly 99% of our projected revenue. (See *attachment 1*)

Of particular interest is the Office of the Attorney General (AGO) expenditure through March 31, 2011. (See *attachment 2*) The AGO budget is only \$133,243, however, we verbally authorized the AGO to spend up to \$206k as we plan to 'underspend' enough money to cover the increased costs. This also applies to OAH, expert/consultant fees, and court reporter costs. However, should there be insufficient monies left over, we will prepare a deficiency request asking the Department of Finance (DOF) to augment our budget to cover the AG and OAH line items.

Our YTD total enforcement expenditure (AGO costs has one month lag time) through May 31<sup>st</sup> is \$258k, nearly 24% above the budget. The only reason we were able to redirect funds to cover the underfunded enforcement costs *and* still revert money, is due to leaving 2 limited term positions vacant. The funding for these positions ends September 30<sup>th</sup>; therefore, we won't have that cushion next year and on-going. These line items must be addressed. More about revenue/expenditures under Agenda Item 12.

### Staff

The Board currently has 10 permanent staff, and 2 limited term positions that will be left unfilled; funding expires September 30, 2012. All permanent positions are filled. Due to revenue concerns, we are unable to request additional staff, despite the fact that workload supports the need for additional staff.

### BreEZe Project:

The BrEZe project is on schedule; our May 2013 deployment remains unchanged.

#### On-line Benefits to Licensees:

- Apply for or renew license
- Pay with a major credit card in a secure environment
- Track the status of an application or licensing request
- Submit address changes
- Obtain proof of renewal status

#### On-line Benefits to Consumers:

- Real-time licensee information
- File a complaint
- Track the status of a complaint

#### Benefits to Staff:

- Integrated system for both licensing and enforcement
- Establishes a 'record' from applicant to licensee
- Automated fill-in-the-blank template letters (30+)

### Other Informational Items

Memo from Legal Office re: Uniform Standards

Article re: electronic health records

Autism Advisory Task Force

ENY: \*\*\*\*\*  
 SECTION: 11 FFY: 11  
 SUB-SECTION: 11 CA BD OF OCCUPATIONAL THERAPY  
 UNIT: 00  
 SUB-UNIT: 00  
 SUB-SUB-UNIT: 00  
 INDEX: 1475 CA BD OF OCCUPATIONAL THERAPY  
 PROGRAM \*\*\*\*\*  
 PG EL CMP TSK PCA DESCRIPTION \*\*\*\*\*

REF	SOURCE	ASRC	DESCRIPTION	PLANNED RECEIPTS	A C T U A L R E C E I P T S	BALANCE
					YEAR-TO-DATE	
					CURRENT MONTH	
67	00	000	73017 REIMB - CA BD OF OCCUPATIONAL THERAPY	22,000.00	1,274.00	8,340.00
001	991937	01	FINGERPRINT REPORTS	0.00	1,391.00	10,697.00-
001	991937	02	EXTERNAL/PRIVATE/GRANT			
*TOTAL SOURCE 991937				22,000.00	2,665.00	2,357.00-
*TOTAL PROG 67				22,000.00	2,665.00	2,357.00-
*TOTAL REFERENCE 001				22,000.00	2,665.00	2,357.00-
67	00	000	83017 REVENUE CA BD OF OCCUPATIONAL THERAPY	0.00	165.00	1,905.00-
980	125600	CU	OTA DUP LIC FEE-\$15.00	0.00	30.00	405.00-
980	125600	CV	OTA DUP CERT FEE-\$15.00	0.00	0.00	250.00-
980	125600	FT	CITATION/FINE FTB COLLECTION	0.00	0.00	34,000.00
980	125600	00	OTHER REGULATORY FEES	0.00	0.00	18,562.00-
980	125600	18	CITATION & FINE FEE COLLECTED-VAR	0.00	2,773.00	12,878.00
*TOTAL SOURCE 125600				34,000.00	2,968.00	12,878.00
980	125700	OC	OT INITIAL LIC FEE-\$VAR	0.00	6,353.00	82,386.00-
980	125700	OD	OTA INITIAL CERT FEE-\$VAR	0.00	3,778.00	20,494.00-
980	125700	OE	OT LIMITED PERMIT-\$75.00	0.00	675.00	4,800.00-
980	125700	OJ	OTA LIMITED PERMIT \$75.00	0.00	0.00	1,500.00-
980	125700	00	OTHER REGULATORY LICENSES AND PER	128,000.00	0.00	128,000.00
980	125700	90	OVER/SHORT FEES	0.00	132.00	515.00-
980	125700	91	SUSPENDED REVENUE	0.00	0.00	250.00-
980	125700	92	PRIOR YEAR REVENUE ADJUSTMENT	0.00	0.00	287.00-
*TOTAL SOURCE 125700				128,000.00	10,938.00	18,342.00
980	125800	BM	OT ANNUAL RENEWAL FEE- \$150.00	0.00	0.00	150.00-
980	125800	BN	OTA ANNUAL RENEWAL FEE- \$150.00	0.00	0.00	150.00-
980	125800	BP	OT INACTIVE RENEWAL LIC FEE-\$25.0	0.00	300.00	6,725.00-
980	125800	BQ	OTA INACTIVE RENEWAL CERT FEE-\$25	0.00	200.00	1,400.00-

CSTARQ24 11 (DEST: A1 CAL2) PM, C, 6, 5, 2, 0, '6212, 2 (AGYSRC) 0 (NOFUND) FUND (ALL ) GL (6212)  
 FISCAL MONTH: 11 MAY 6 (INDEX) 5 (PCA ) DEPT OF CONSUMER AFFAIRS - REGULATORY BOARDS  
 RECEIPTS BY ORGANIZATION AND SOURCE  
 AS OF 05/31/12

ENY: 11  
 SECTION: 11  
 SUB-SECTION: 00  
 UNIT: 00  
 SUB-UNIT: 00  
 SUB-SUB-UNIT: 00  
 INDEX: 1475  
 PROGRAM: CA BD OF OCCUPATIONAL THERAPY  
 PG EL CMP TSK PCA DESCRIPTION

REF	SOURCE	ASRC	DESCRIPTION	PLANNED RECEIPTS	A C T U A L R E C E I P T S	BALANCE
					CURRENT MONTH	YEAR-TO-DATE
980	125800	C1	AUTOMATED REVENUE REFUND CLAIM	0.00	487.00	900.00-
980	125800	00	RENEWAL FEES	756,000.00	0.00	756,000.00
980	125800	2U	OTA 1YEAR RENEWAL FEE (1/2 BIENN R	0.00	0.00	75.00-
980	125800	2W	BIENNIAL RENEWAL-OT \$150	0.00	23,840.00	657,140.00-
980	125800	2X	BIENNIAL RENEWAL-OTA \$150	0.00	3,300.00	108,750.00-
980	125800	90	OVER/SHORT FEES	0.00	0.00	5.00-
*TOTAL SOURCE 125800				756,000.00	28,127.00	774,995.00
980	125900	A4	OT DELINQ RENEWAL FEE-\$75.00	0.00	0.00	75.00-
980	125900	TM	DELINQ BIENNIAL-OT \$75	0.00	960.00	10,485.00-
980	125900	TN	DELINQ BIENNIAL-OTA \$75	0.00	225.00	1,425.00-
980	125900	00	DELINQUENT FEES	16,000.00	0.00	16,000.00
*TOTAL SOURCE 125900				16,000.00	1,185.00	11,985.00
980	142500	00	MISCELLANEOUS SERVICES TO THE PUB	7,000.00	0.00	7,000.00
980	142500	90	MISC. SER TO PUBLIC - GENERAL	0.00	990.00	6,810.00-
*TOTAL SOURCE 142500				7,000.00	990.00	190.00
980	150300	00	INCOME FROM SURPLUS MONEY INVESTM	4,000.00	0.00	2,606.82
*TOTAL SOURCE 150300				4,000.00	0.00	1,393.18
980	161000	00	ESCHEAT OF UNCLAIMED CHECKS, WARRA	1,000.00	0.00	1,000.00
980	161000	02	REVENUE CANCELLED WARRANTS	0.00	0.00	497.00-
*TOTAL SOURCE 161000				1,000.00	0.00	503.00
980	161400	91	DISHONORED CHECK FEE-VAR	0.00	100.00	450.00-

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ENY: 11  
 SECTION: 11 CA BD OF OCCUPATIONAL THERAPY  
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 UNIT: 00  
 SUB-UNIT: 00  
 SUB-SUB-UNIT: 00  
 INDEX: 1475 CA BD OF OCCUPATIONAL THERAPY  
 PROGRAM  
 PG EL CMP TSK PCA DESCRIPTION

REF	SOURCE	ASRC	DESCRIPTION	PLANNED RECEIPTS	CURRENT MONTH	YEAR-TO-DATE	BALANCE
*****				0.00	100.00	450.00	450.00-
*TOTAL SOURCE 161400							
980	164300	00	PENALTY ASSESSMENTS	12,000.00	0.00	0.00	12,000.00
980	164300	99	PENALTY ASSESSMENTS	0.00	2,136.58	18,898.37	18,898.37-
*TOTAL SOURCE 164300				12,000.00	2,136.58	18,898.37	6,898.37-
*TOTAL PROG 67				958,000.00	46,444.58	947,022.19	10,977.81
*TOTAL REFERENCE 980				958,000.00	46,444.58	947,022.19	10,977.81
*TOTAL INDEX 1475				980,000.00	49,109.58	971,379.19	8,620.81
*TOTAL SEC 11				980,000.00	49,109.58	971,379.19	8,620.81

# DEPARTMENT OF CONSUMER AFFAIRS

CA BD OF OCCUPATIONAL THERAPY

BUDGL. REPORT  
AS OF 5/31/2012

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CA BD OF OCCUPATIONAL THERAPY

DESCRIPTION	BUDGET	CURR. MONTH	YR-TO-DATE	ENCUMBRANCE	YTD + ENCUMBRANCE	BALANCE	PCNT REMAIN
<b>PERSONAL SERVICES</b>							
<b>SALARIES AND WAGES</b>							
003 00 CIVIL SERVICE-PERM	462,563	29,226	275,653	0	275,653	186,910	
033 04 TEMP HELP (907)	4,000	3,980	51,347	0	51,347	(47,347)	
063 00 STATUTORY-EXEMPT	72,571	6,496	67,689	0	67,689	4,882	
063 01 BD/COMMSN (901,920)	20,000	0	0	0	0	20,000	
<b>TOTAL SALARIES AND WAGES</b>	<b>559,134</b>	<b>39,703</b>	<b>394,689</b>	<b>0</b>	<b>394,689</b>	<b>164,445</b>	<b>29.41%</b>
<b>STAFF BENEFITS</b>							
103 00 OASDI	44,719	2,353	22,888	0	22,888	21,831	
104 00 DENTAL INSURANCE	206	172	1,856	0	1,856	(1,650)	
105 00 HEALTH/WELFARE INS	112,913	2,825	30,045	0	30,045	82,868	
106 01 RETIREMENT	91,022	6,596	63,499	0	63,499	27,524	
125 00 WORKERS' COMPENSAT	15,013	0	0	0	0	15,013	
125 15 SCIF ALLOCATION CO	0	279	3,108	0	3,108	(3,108)	
133 00 UNEMPLOYMENT INSUR	0	0	5,401	0	5,401	(5,401)	
134 00 OTHER-STAFF BENEFIT	0	2,463	25,408	0	25,408	(25,408)	
134 01 TRANSIT DISCOUNT	0	0	65	0	65	(65)	
135 00 LIFE INSURANCE	0	13	140	0	140	(140)	
136 00 VISION CARE	44	69	622	0	622	(578)	
137 00 MEDICARE TAXATION	160	561	5,601	0	5,601	(5,441)	
<b>TOTAL STAFF BENEFITS</b>	<b>264,077</b>	<b>15,332</b>	<b>158,633</b>	<b>0</b>	<b>158,633</b>	<b>105,444</b>	<b>39.93%</b>
<b>SALARY SAVINGS</b>							
141 00 SALARY SAVINGS	(33,769)	0	0	0	0	(33,769)	
<b>TOTAL SALARY SAVINGS</b>	<b>(33,769)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(33,769)</b>	<b>100.00%</b>
<b>TOTAL PERSONAL SERVICES</b>	<b>789,442</b>	<b>55,035</b>	<b>553,322</b>	<b>0</b>	<b>553,322</b>	<b>236,120</b>	<b>29.91%</b>
<b>OPERATING EXPENSES &amp; EQUIPMENT</b>							
<b>FINGERPRINTS</b>							
213 04 FINGERPRINT REPORT	22,000	1,018	13,368	0	13,368	8,632	
<b>TOTAL FINGERPRINTS</b>	<b>22,000</b>	<b>1,018</b>	<b>13,368</b>	<b>0</b>	<b>13,368</b>	<b>8,632</b>	<b>39.24%</b>
<b>GENERAL EXPENSE</b>							
201 00 GENERAL EXPENSE	29,622	0	0	0	0	29,622	
206 00 MISC OFFICE SUPPLI	0	19	3,121	0	3,121	(3,121)	
207 00 FREIGHT & DRAYAGE	0	0	797	0	797	(797)	

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CA BD OF OCCUPATIONAL THERAPY

DESCRIPTION	BUDGET	CURR. MONTH	YR-TO-DATE	ENCUMBRANCE	YTD + ENCUMBRANCE	BALANCE	PCNT REMAIN
213 02 ADMIN OVERHEAD-OTH	0	9	1,622	0	1,622	(1,622)	
223 00 LIBRARY PURCH/SUBS	0	0	1,411	0	1,411	(1,411)	
<b>TOTAL GENERAL EXPENSE</b>	<b>29,822</b>	<b>28</b>	<b>6,950</b>	<b>0</b>	<b>6,950</b>	<b>22,672</b>	<b>76.54%</b>
<b>PRINTING</b>							
241 00 PRINTING	12,187	0	0	0	0	12,187	
242 02 REPRODUCTION SVS	0	0	261	0	261	(261)	
242 03 ALLOCATED COPY COS	0	0	1,730	0	1,730	(1,730)	
242 04 EDD PRODUCTIONS	0	1,811	1,811	0	1,811	(1,811)	
244 00 OFFICE COPIER EXP	0	141	1,272	942	2,214	(2,214)	
<b>TOTAL PRINTING</b>	<b>12,187</b>	<b>1,952</b>	<b>5,074</b>	<b>942</b>	<b>6,017</b>	<b>6,170</b>	<b>50.63%</b>
<b>COMMUNICATIONS</b>							
251 00 COMMUNICATIONS	13,582	0	0	0	0	13,582	
252 00 CELL PHONES,PDA,PA	0	37	373	0	373	(373)	
255 00 DELIVER SERV (MESS	0	71	71	0	71	(71)	
257 01 TELEPHONE EXCHANGE	0	3	2,626	0	2,626	(2,626)	
<b>TOTAL COMMUNICATIONS</b>	<b>13,582</b>	<b>111</b>	<b>3,069</b>	<b>0</b>	<b>3,069</b>	<b>10,513</b>	<b>77.40%</b>
<b>POSTAGE</b>							
261 00 POSTAGE	27,908	0	0	0	0	27,908	
262 00 STAMPS, STAMP ENVE	0	55	1,733	0	1,733	(1,733)	
263 05 ALLOCATED POSTAGE-	0	771	8,117	0	8,117	(8,117)	
263 06 ALLOCATED POSTAGE-	0	1,048	5,589	0	5,589	(5,589)	
<b>TOTAL POSTAGE</b>	<b>27,908</b>	<b>1,874</b>	<b>15,438</b>	<b>0</b>	<b>15,438</b>	<b>12,470</b>	<b>44.68%</b>
<b>TRAVEL: IN-STATE</b>							
291 00 TRAVEL: IN-STATE	21,581	0	0	0	0	21,581	
292 00 PER DIEM-I/S	0	467	4,045	0	4,045	(4,045)	
294 00 COMMERCIAL AIR-I/S	0	1,140	6,375	0	6,375	(6,375)	
296 00 PRIVATE CAR-I/S	0	383	1,626	0	1,626	(1,626)	
297 00 RENTAL CAR-I/S	0	4	434	0	434	(434)	
301 00 TAXI & SHUTTLE SER	0	0	79	0	79	(79)	
305 00 MGMT/TRANS FEE-I/S	0	10	10	0	10	(10)	
<b>TOTAL TRAVEL: IN-STATE</b>	<b>21,581</b>	<b>2,004</b>	<b>12,569</b>	<b>0</b>	<b>12,569</b>	<b>9,012</b>	<b>41.76%</b>
<b>TRAINING</b>							
331 00 TRAINING	13,159	0	0	0	0	13,159	
332 00 TUITN/REGISTRATN F	0	620	1,160	0	1,160	(1,160)	
<b>TOTAL TRAINING</b>	<b>13,159</b>	<b>620</b>	<b>1,160</b>	<b>0</b>	<b>1,160</b>	<b>11,999</b>	<b>91.18%</b>



# DEPARTMENT OF CONSUMER AFFAIRS

CA BD OF OCCUPATIONAL THERAPY

BUDGL. REPORT  
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CA BD OF OCCUPATIONAL THERAPY

DESCRIPTION	BUDGET	CURR. MONTH	YR-TO-DATE	ENCUMBRANCE	ENCUMBRANCE	YTD + ENCUMBRANCE	BALANCE	PCNT REMAIN
<b>FACILITIES OPERATIONS</b>								
341 00 FACILITIES OPERATI	50,942	0	0	0	0	0	50,942	
343 00 RENT-BLDG/GRND(NON	0	4,457	48,730	4,457	53,187	53,187	(53,187)	
347 00 FACILITY PLNG-DGS	0	86	833	0	833	833	(833)	
<b>TOTAL FACILITIES OPERATIONS</b>	<b>50,942</b>	<b>4,542</b>	<b>49,563</b>	<b>4,457</b>	<b>54,020</b>	<b>54,020</b>	<b>(3,078)</b>	<b>-6.04%</b>
<b>DEPARTMENTAL SERVICES</b>								
424 03 OIS PRO RATA	80,359	13,698	82,196	0	82,196	82,196	(1,837)	
427 00 INDIRECT DISTRB CO	94,602	15,462	92,765	0	92,765	92,765	1,837	
427 01 INTERAGENCY SERVS	105	0	0	0	0	0	105	
427 30 DOI - PRO RATA	3,816	636	3,816	0	3,816	3,816	0	
427 34 PUBLIC AFFAIRS PRO	6,477	1,077	6,476	0	6,476	6,476	1	
427 35 CCED PRO RATA	6,749	1,126	6,748	0	6,748	6,748	1	
<b>TOTAL DEPARTMENTAL SERVICES</b>	<b>192,108</b>	<b>31,999</b>	<b>192,001</b>	<b>0</b>	<b>192,001</b>	<b>192,001</b>	<b>107</b>	<b>0.06%</b>
<b>CONSOLIDATED DATA CENTERS</b>								
428 00 CONSOLIDATED DATA	0	21	333	0	333	333	(333)	
<b>TOTAL CONSOLIDATED DATA CENTERS</b>	<b>0</b>	<b>21</b>	<b>333</b>	<b>0</b>	<b>333</b>	<b>333</b>	<b>(333)</b>	<b>0.00%</b>
<b>DATA PROCESSING</b>								
431 00 INFORMATION TECHNO	5,125	0	0	0	0	0	5,125	
436 00 SUPPLIES-IT (PAPER	0	0	559	0	559	559	(559)	
445 00 SOFTWARE-IT PURCH,	0	2,717	2,717	0	2,717	2,717	(2,717)	
<b>TOTAL DATA PROCESSING</b>	<b>5,125</b>	<b>2,717</b>	<b>3,277</b>	<b>0</b>	<b>3,277</b>	<b>3,277</b>	<b>1,848</b>	<b>36.06%</b>
<b>CENTRAL ADMINISTRATIVE SERVICES</b>								
438 00 PRO RATA	72,357	18,089	72,357	0	72,357	72,357	0	
<b>TOTAL CENTRAL ADMINISTRATIVE SERVICES</b>	<b>72,357</b>	<b>18,089</b>	<b>72,357</b>	<b>0</b>	<b>72,357</b>	<b>72,357</b>	<b>0</b>	<b>0.00%</b>
<b>EXAMINATIONS</b>								
404 03 C/P SVS - EXT SUB	0	0	1,074	0	1,074	1,074	(1,074)	
<b>TOTAL EXAMINATIONS</b>	<b>0</b>	<b>0</b>	<b>1,074</b>	<b>0</b>	<b>1,074</b>	<b>1,074</b>	<b>(1,074)</b>	<b>0.00%</b>
<b>ENFORCEMENT</b>								
396 00 ATTORNEY GENL-INTE	133,243	9,910	150,593	0	150,593	150,593	(17,350)	
397 00 OFC ADMIN HEARNG-I	1,000	1,138	26,272	0	26,272	26,272	(25,272)	
414 31 EVIDENCE/WITNESS F	0	0	5,530	0	5,530	5,530	(5,530)	
414 34 EVIDENCE	0	0	50	0	50	50	(50)	
418 97 COURT REPORTER SER	0	0	2,923	0	2,923	2,923	(2,923)	
427 31 DOI - INVESTIGATIO	73,221	12,201	73,220	0	73,220	73,220	1	

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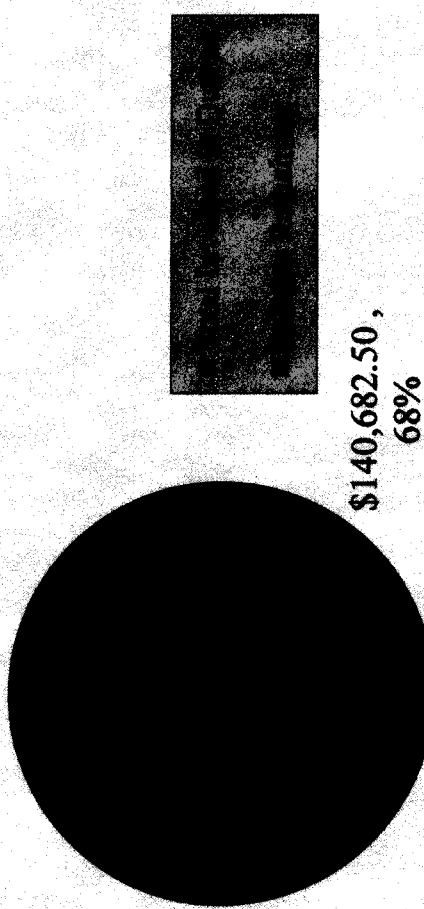
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CA BD OF OCCUPATIONAL THERAPY

CA BD OF OCCUPATIONAL THERAPY

DESCRIPTION	BUDGET	CURR. MONTH	YR-TO-DATE	ENCUMBRANCE	ENCUMBRANCE	YTD + ENCUMBRANCE	BALANCE	PCNT REMAIN
<b>TOTAL ENFORCEMENT</b>	207,464	23,249	258,588	0	258,588	258,588	(51,124)	-24.64%
<b>MINOR EQUIPMENT</b>								
226 00 MINOR EQUIPMENT	3,250	0	0	0	0	0	3,250	
226 70 MIN EQPMT-CELL PHO	0	0	0	95	95	95	(95)	
226 75 MIN EQPMT-CELL PHO	0	0	0	131	131	131	(131)	
<b>TOTAL MINOR EQUIPMENT</b>	3,250	0	0	226	226	226	3,024	93.05%
<b>TOTAL OPERATING EXPENSES &amp; EQUIPMEN</b>	671,285	88,225	634,821	5,625	640,445	640,445	30,840	4.59%
<b>CA BD OF OCCUPATIONAL THERAPY</b>	1,460,727	143,259	1,188,143	5,625	1,193,767	1,193,767	266,960	18.28%
	1,460,727	143,259	1,188,143	5,625	1,193,767	1,193,767	266,960	18.28%

3rd Quarter FY 11-12

Agency Code	Agency Name	DCA Budget	Budget Revisions	Total Budget Amount	Total Invoiced in Quarter	Balance Remaining	Average Quarter Monthly Invoiced
3506	BD of Occupational Therapy	\$133,243.00	\$0.00	\$133,243.00	\$67,587.50	\$65,655.50	\$22,529.17
	2nd Quarter	\$133,243.00	\$0.00	\$133,243.00	\$32,217.50	\$106,195.00	\$10,739.17
	3rd Quarter	\$133,243.00	\$72,757.00	\$206,000.00	\$40,877.50	\$65,317.50	\$13,625.83
	SUBTOTAL Y-T-D	\$133,243.00	\$72,757.00	\$206,000.00	\$140,682.50	\$65,317.50	\$15,631.39
 <p>\$140,682.50, 68%</p>							

## Performance Measures

### Q3 Report (January - March 2012)

To ensure stakeholders can review the Board's progress toward meeting its enforcement goals and targets, we have developed a transparent system of performance measurement. These measures will be posted publicly on a quarterly basis.

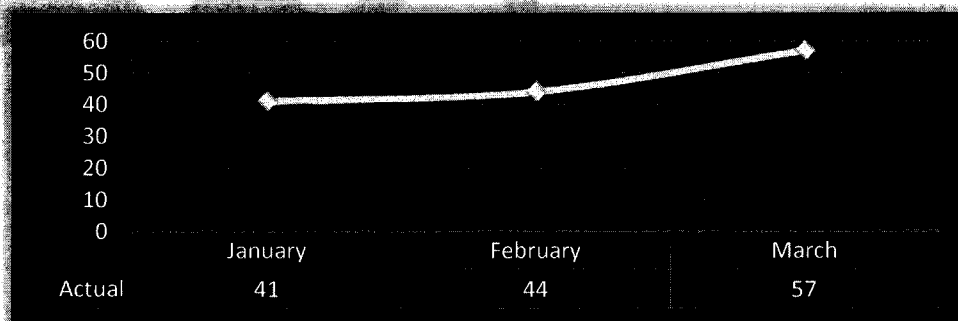
#### Volume

Number of complaints and convictions received.

**Q3 Total: 142**

**Complaints: 114 Convictions: 28**

**Q3 Monthly Average: 47**

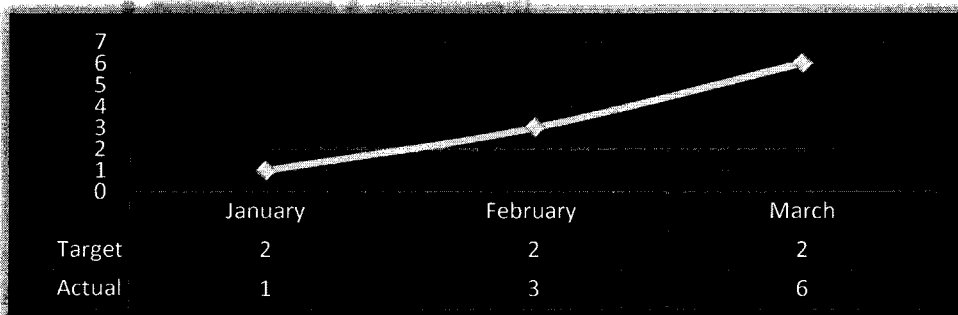


#### Intake

Average cycle time from complaint receipt, to the date the complaint was assigned to an investigator.

**Target: 2 Days**

**Q3 Average: 3 Days**

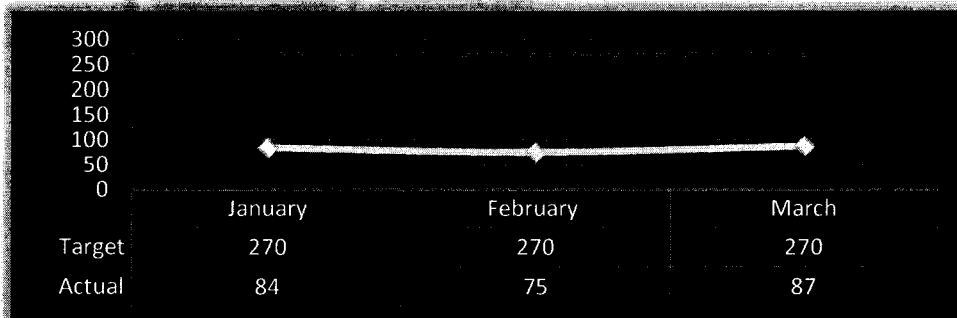


## Intake & Investigation

Average cycle time from complaint receipt to closure of the investigation process. Does not include cases sent to the Attorney General or other forms of formal discipline.

**Target: 270 Days**

**Q3 Average: 80 Days**

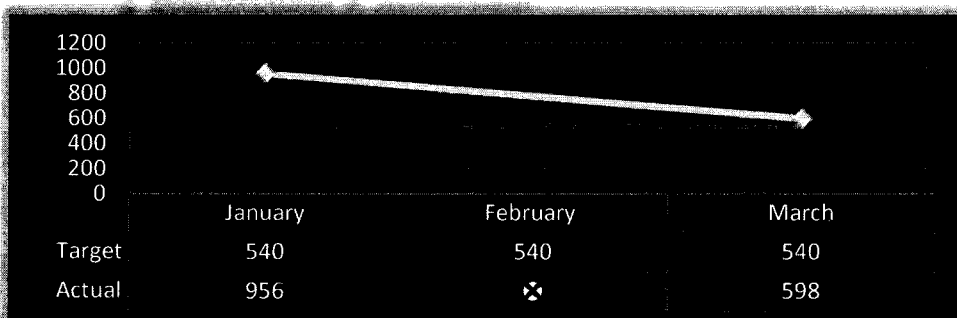


## Formal Discipline

Average number of days to complete the entire enforcement process for cases resulting in formal discipline. (Includes intake and investigation by the Board, and prosecution by the AG)

**Target: 540 Days**

**Q3 Average: 777 Days**

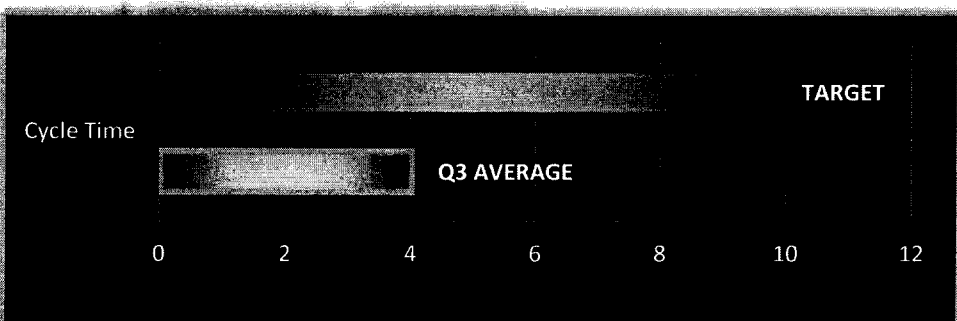


## Probation Intake

Average number of days from monitor assignment, to the date the monitor makes first contact with the probationer.

**Target: 10 Days**

**Q3 Average: 4 Days**

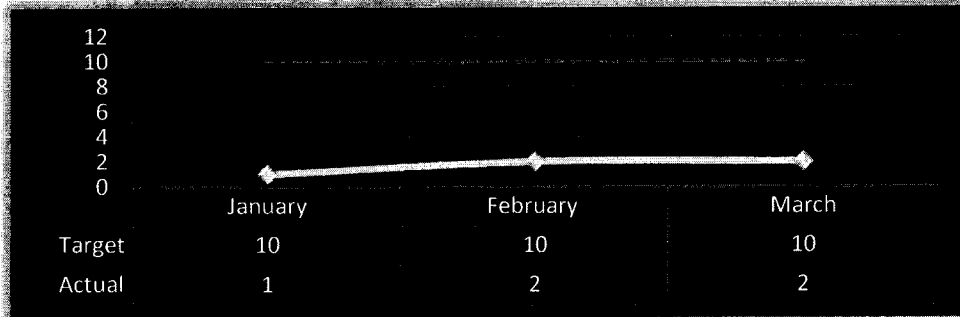


## Probation Violation Response

Average number of days from the date a violation of probation is reported, to the date the assigned monitor initiates appropriate action.

**Target: 10 Days**

**Q3 Average: 2 Days**





## California physicians unprepared for electronic health record regulations: report

June 15th, 2012 in Health

**(Medical Xpress) -- Electronic health records (EHRs) are used widely by California physicians, but many of their systems are not designed to meet new federal standards aimed at improving the quality of health care, according to a report from UCSF researchers.**

While 71 percent of California physicians have an EHR system, only 30 percent have one with the functionalities needed to achieve “meaningful use” requirements from the Centers for Medicare and Medicaid Services' (CMS), the team reports. These requirements include, for example, the ability to communicate electronically with other health care providers, such as pharmacies, labs, other clinicians, and hospitals, to whom physicians refer patients.

Medicare payments to physicians will be reduced in 2015 if they do not meet these requirements.

The report, available online, summarizes findings from a 2011 survey conducted by UCSF, in collaboration with the California Medical Board, for the California HealthCare Foundation and the California Department of Health Care Services.

EHRs capture patients' health information, such as medical history, allergies, laboratory test results, radiology images, and payment, in an electronic form that enables clinicians and other providers to access and share the information across medical specialties or facilities. Exchanging electronic records among physician practices and between physician practices and hospitals can improve coordination of care because all providers can have access to the same information.

“We found that physicians are more likely to have electronic health records with functions that support individual patient visits rather than functions that support overall quality improvement,” said lead author Janet M. Coffman, PhD, assistant professor at the UCSF Philip R. Lee Institute for Health Policy Studies and UCSF Department of Family and Community Medicine.

For example, Coffman said that 61 percent of physicians have EHRs that enable them to record clinical notes electronically but only 45 percent have the capacity to generate routine reports of quality indicators, such as the percentage of patients with diabetes who receive recommended lab tests, foot exams, and eye exams.

The research team also found that the size of a physician's practice is the strongest predictor of having an EHR. Physicians who practice in Kaiser Permanente, other large medical groups, the Department of Veteran Affairs, or the military are much more likely to have EHRs than physicians in smaller practices.

Federal regulations identify three categories of objectives aimed at achieving meaningful use of the technology: core objectives, such as the collection of basic medical information; menu objectives, such as submitting electronic immunization data to immunization registries; and electronic reporting on the quality of care. In 2011 and 2012, clinicians are required to report three quality measures: blood pressure, tobacco status, and adult weight status, as well as three additional clinical quality measures of the clinician's choice.

To further increase the adoption and use of electronic health records, the federal government will provide incentive payments to hospitals and providers that achieve meaningful use of the technology.

The Health Information Technology for Economic and Clinical Health (HITECH) Act incentive payments could total up to \$27 billion over 10 years, or as much as \$44,000 (through Medicare) and \$63,750 (through Medicaid, called Medi-Cal in California) per clinician. This funding also will provide the basis for the creation of a nationwide network of EHRs.

"The Medicare and Medicaid incentive payments will provide valuable resources to physician practices that do not yet have EHRs that will meet meaningful use standards," said Coffman. "Medicaid payments especially are important since we found that community health centers, rural health clinics, and other practices that primarily serve Medicaid beneficiaries and uninsured persons are less likely to have EHRs. Many of these practices are struggling to keep their doors open. Medicaid incentive payments give these practices an opportunity to purchase EHRs."

When fully implemented, EHRs can improve care in a variety of ways, said Coffman. "Reminder systems can alert physicians and other health professionals when patients are due for screening tests, and electronic prescribing systems can incorporate alerts to warn providers if they attempt to prescribe a non-standard dose of a medication or a medication to which a patient is allergic," she said.

For the report, a questionnaire was sent to 10,353 physicians with MD degree license renewals that were due to the California Medical Board between June 1 and July 31, 2011. The questionnaire asked physicians if they had an EHR at their



main practice location, and assessed eight of the 15 core objectives and four of the 10 menu objectives that CMS established for meaningful use of EHRs.

The survey was limited to the 7,931 physicians in the sample who reported that they practiced in California and provided at least one hour of patient care per week; 5,384 of these physicians (68 percent) completed the survey.

Co-authors are Kevin Grumbach, MD, chair of the UCSF Department of Family and Community Medicine; Margaret Fix, MPH, research associate at the UCSF Philip R. Lee Institute for Health Policy Studies; Leon Trainer, programmer/analyst at the UCSF Philip R. Lee Institute for Health Policy Studies; and Andrew B. Bindman, MD, professor at the UCSF Philip R. Lee Institute for Health Policy Studies and UCSF Department of Medicine.

Provided by University of California, San Francisco

"California physicians unprepared for electronic health record regulations: report." June 15th, 2012.  
<http://medicalxpress.com/news/2012-06-california-physicians-unprepared-electronic-health.html>